Contents lists available at ScienceDirect

Neuropsychologia



journal homepage: www.elsevier.com/locate/neuropsychologia

Disrupting posterior cingulate connectivity disconnects consciousness from the external environment



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ARTICLE INFO

Article history: Received 21 November 2013 Received in revised form 5 January 2014 Accepted 27 January 2014 Available online 4 February 2014

Keywords: Posterior cingulate Precuneus External awareness Consciousness disorders Electrical stimulations

ABSTRACT

Neurophysiological and neuroimaging studies including both patients with disorders of consciousness and healthy subjects with modified states of consciousness suggest a crucial role of the medial posteroparietal cortex in conscious information processing. However no direct neuropsychological evidence supports this hypothesis and studies including patients with restricted lesions of this brain region are almost non-existent. Using direct intraoperative electrostimulations, we showed in a rare patient that disrupting the subcortical connectivity of the left posterior cingulate cortex (PCC) reliably induced a breakdown in conscious experience. This acute phenomenon was mainly characterized by a transient behavioral unresponsiveness with loss of external connectedness. In all cases, when he regained consciousness, the patient described himself as in dream, outside the operating room. This finding suggests that functional integrity of the PPC connectivity is necessary for maintaining consciousness of external environment.

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1. Introduction

Nowadays, in cognitive neuroscience and philosophy of mind, considerable attention is being paid to the posteromedial cortex (PMC), including the posterior cingulate, retrosplenial and ventral precuneal cortices. It belongs to the transmodal cerebral cortex (Mesulam, 1998) and harbors one of the most complex patterns of connectivity (Cocchi, Zalesky, Fornito, & Mattingley, 2013). The PMC have indeed excessive cortical connections across the entire brain, constitutes a major core of the human structural connectome (Hagmann et al., 2008; Gong et al. 2009; van den Heuvel & Sporns, 2011), and demonstrates a high level of intrinsic functional connectivity, reaching the rank of highly integrative neural hub (Buckner et al., 2009; Cauda et al., 2010). Together with the anterior cingulate/medial prefrontal cortex and the temporoparietal junctions, the PMC forms a

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http://dx.doi.org/10.1016/j.neuropsychologia.2014.01.020 0028-3932 © 2014 Elsevier Ltd. All rights reserved. broader whole of functionally and structurally interconnected areas that show a robust functional synchrony when the brain is resting, the so-called default mode network (DMN) (Gusnard, Akbudak, Shulman, & Raichle, 2001; Raichle et al., 2001; Greicius, Krasnow, Reiss, & Menon, 2003; Fransson & Marrelec, 2008).

Many hypotheses have been posed concerning the functional significance of this set of posterior midline structures. Among the more challenging are those arguing for a possible role of the PMC in conscious self-awareness (e.g. mindwandering, future episodic thought, and mental imagery) (Hassabis & Maguire, 2007; Schacter, Addis, & Buckner, 2007; Buckner, Andrews-Hanna, & Schacter, 2008; Andrews-Hanna, Reidler, Huang, & Buckner, 2010) and reflective social cognition (e.g. inferential mentalizing) (Schilbach, Eickhoff, Rotarska-Jagiela, Fink & Vogeley, 2008; Spreng, Mar, & Kim, 2009; Schilbach et al., 2012; Mars et al., 2012; Herbet et al., 2014). Other authors have suggested the PMC as pivotal in the neural network that may be concerned with maintaining consciousness (Vogt & Laureys, 2005; Horovitz et al., 2009; Picchioni, Duyn, & Horovitz, 2013). In the latter case, the evidence mainly comes from the study of neurological patients with disorders of consciousness such as coma (Norton et al.,



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2012), vegetative state (Cauda et al., 2009; Vanhaudenhuyse et al., 2010), brain death (Boly et al., 2009), and epilepsy-induced loss of consciousness (Archer, Abbott, Waites, & Jackson, 2003; Danielson, Guo, & Blumenfeld, 2011). In all these pathological conditions, functional connectivity of the PMC is decreased or absent, and functional integration within the DMN is compromised. These observations are also true in healthy subjects with altered states of consciousness such as deep sleep (Horovitz et al., 2009) and sedation/anesthesia (Alkire, Hudetz, & Tononi, 2008; Greicius et al., 2008; Hudetz, 2012).

The assumption according to which the PMC may be a critical node in the neural network supporting conscious information processing remains to some extent elusive, mainly because uniquely derived from neurophysiological studies (e.g. functional MRI, EEG). The posterior midline structures of the brain are indeed relatively protected from brain injury as strokes (Cavanna & Trimble, 2006; Leech & Sharp, 2013). Consequently, neuropsychological studies including patients with well-defined, restricted lesions of these brain areas are almost non-existent, dramatically restricting the scope of our knowledge in this respect.

In this study, we report the extremely rare case of a patient harboring a slow-growing lesion in the left posteromedial cortex (Fig. 1a). A surgery under local anesthesia ("awake" surgery) was performed to functionally map the cortical surface overhanging the tumour, but also the adjacent subcortical structure as the surgical resection progressed (Duffau et al. 2002; Ojemann & Mateer, 1979), thus offering a unique opportunity to reach the posterior cingulate connectivity and identify its functional significance. On the basis of the evidence reviewed above, the most straightforward hypothesis is that if the posterior cingulate is really crucial in conscious awareness, interfering with its neural activity should lead to a profound disturbance in the patient's conscious experience. As detailed below, we found that electrically stimulating the white matter underlying the left posterior cingulate cortex transiently disconnects consciousness from the external environment.

2. Material and methods

2.1. Case description

The patient is a 45-year-old man with high educational level. Following absence seizures, MRI scans were performed. A diffuse low-grade glioma – subsequently confirmed by postoperative neuropathological analyses – was

discovered in the left posteromedial cortex. In accordance with our surgical approach, an "awake" surgery was performed.

The posterior and ventral part of the left precuneus was totally resected. Part of the left cingulate cortex and retrosplenial areas was also resected. The whole lesion was removed with however some degree of margin ("supracomplete resection") (Fig. 1a; see Fig. 1a-c and Table 1 in the Supplementary material file).

2.2. Cortical and subcortical functional mapping

To functionally map cortical and subcortical structures, direct electrical stimulations were applied with a bipolar electrode (biphasic current, pulse of 60 Hz, single pulse phase duration of 1 ms and intensity from 1.5 to 2 mA). This surgical procedure has been extensively been described in the past. The intraoperative protocol is further detailed in the Supplementary material file.

2.3. Imaging method for tractography reconstruction

MRI data were acquired using echo-planar imaging on 3 T magnet (Siemens, Skyra) with a standard head coil. High resolution T1-weighted anatomical images were acquired as well as diffusion MR images. Raw diffusion data were corrected for distortion secondary to eddy currents using FSL (FMRIB, http://www.fmrib.ox. ac.uk/fsl), and then tractography was realized using TrackVis software (streamline method). Tractographic seeds location was placed according to intraoperative stimulations (dream-like state stimulation, MNI co-ordinates: [-12 - 44 27], visual blur stimulation, MNI co-ordinates: [-27 - 56 21]) and tractography was initiated from these seeds (the size of both seeds was 10 mm diameter). A more detailed description of the method is provided in the Supplementary material file.

2.4. Ethical statement

Results presented in this article have not been obtained in an experimental, but in a classic clinical context. DESs were initially used to map language or visual processes and avoid long-term postoperative language or visual hemifield disturbances (i.e. contralateral hemianopia). This is a classical surgical procedure used in our center. A statement of informed consent to publish personal medical data was obtained from the patient.

3. Results

3.1. Cortical and subcortical functional mapping

Cortical and subcortical brain mapping was performed by applying direct electrical stimulations (DESs). In accordance with a well-established methodological procedure, an anatomical site was considered as functional if the response (i.e. stimulating the left arcuate fasciculus elicit phonemic paraphasia) was induced three times period (Ojemann & Mateer, 1979). Functional sites were not stimulated consecutively. After each positive stimulation,

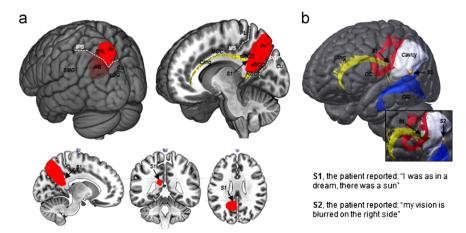


Fig. 1. Anatomical location of the "dream-like state" stimulation. (a) Location of the stimulation relative to the resective cavity. The "dream-like state" stimulation (S1) was applied on the white matter underlying the left posterior cingulate (black arrow, MNI coordinates: -12, -44, 27). (b) Tractography reconstruction. Whereas the "dream-like state" stimulation (S1) was identified close to the cingulum, the "visual blur" stimulation was identified close to optic radiations (S2). Pr=precuneus, PL=paracentral lobule, vPCC=ventral posterior cingulate cortex, MCC=middle cingulate cortex, RSC=retrosplenial cortex, SPL=Superior parietal lobule, Cu=cuneus, AG=angular gyrus, SMG=supramarginal gyrus, IPS=intraparietal sulcus, SOG=superior occipital gyrus, MS=marginal sulcus, POF=parieto-occipital fissure, Cing=cingulum, CC=corpus callosum, OR=optic radiations.

Whereas no functional site was found on the cortical surface, at the level of the precuneus, two critical sites were spotted during a naming task in the depth of the resective cavity. First, transient visual blur was repetitively induced by stimulating the white matter fibers close to the internal parieto-occipital fissure. Second, beside this anatomical benchmark, more medially and superiorly, DESs were found to induce a dramatic alteration of conscious experience in a highly reproducible manner. The naming task was interrupted and the patient was unresponsive (verbal injunctions) during a time period of approximately 4 s, with a fixed regard. Quite surprisingly, he described himself retrospectively as in a dream, outside the operating room, and was able to fleetingly report his subjective experiences (stimulation 1: "I was as in a dream, there was a sun"; stimulation 2: I was as in a dream, I was on the beach"; stimulation 3: "I was as in a dream, I was surrounded by a white landscape". No additional control sites were found to elicit the same acute manifestation in the surrounding anatomical space (6 control stimulations sum-total, Fig. 2). A more detailed characterization this subjective manifestation is proposed in the Supplementary material file.

3.2. Postoperative neuropsychological observations

In addition to the standard neuropsychological investigation achieved in our center at the three months, the patient was asked to describe retrospectively his subjective experience. He reported experiencing no rumination and no negative thought for almost a month after the surgery. He described himself in a kind of contemplative state, with a subjective feeling of absolute happiness and timelessness.

He described also a persistent subjective feeling of "transparency" of his contralateral hand, accompanied by an impossibility to locate it spatially when this one was not under visual control (during approximately one month after the surgery).

4. Discussion

Here we reported the case of an exceptional patient with a slow-growing lesion involving the left posteromedial cortex. An awake surgery was performed, thus offering a unique opportunity to reach the posterior cingulate connectivity. We found that applying DESs on the white matter underlying the left posterior cingulate, close to the cingulum, induced in a reproducible manner a breakdown in conscious experience. No additional sites were demonstrated to elicit the same manifestation during electrical stimulations.

The posterior cingulate cortex (PCC) is currently posited to be essential in the neural network sustaining conscious information processing. This hypothesis is mainly derived from studies showing that complete loss of consciousness is systematically associated with functional deactivation of the PCC in a range of conditions such as coma (Norton et al., 2012), vegetative state (Vanhaudenhuvse et al., 2010), epilepsy (Blumenfeld et al. 2009; Danielson et al., 2011), deep sleep (Horovitz et al., 2009) and anesthesia (Alkire et al., 2008). In agreement with these observations, the finding reported here shows that functional disintegration of PCC connectivity gives rise to an altered state of consciousness characterized by a behavioral unresponsiveness and a loss of external connectedness. As our patient also reported dream-like states, it could be tempting to conclude that subjective consciousness was preserved. However, we cannot take its self-reports - by nature subjective but also retrospective in our study for granted and rule out the possibility of confabulatory fabrications. The subjective descriptions of our patient have therefore to be taken with great caution. Nevertheless, it is worth noting, from a phenomenological standpoint, that this paradoxical situation (loss of connectedness, unresponsiveness, persistence of conscious experiences) is somewhat reminiscent of dream experiences occurring in rapid eye movement (RME) sleep (Sanders, Tononi, Laureys, & Sleigh, 2012) and that, at the physiological level, dreaming is known to be associated with decreasing neural activity in posterior cingulate as well as precuneus in imaging studies (Maguet et al., 1996; Desseilles, Dang-Vu. Sterpenich, & Schwartz, 2011). In the same line, subjective dreamlike feelings have been observed during anesthesia with intermediate dose of certain anesthetic agents that target specifically the posterior cingulate and its neighboring areas (for a review, see Alkire et al. (2008)).

A transitory functional dis-synchronization of the DMN might explain this highly restricted state of consciousness. There is indeed great evidence that functional connectivity between the frontal and posteromedial nodes of this neural system is anatomically mediated by the cingulum (van den Heuvel, Mandl,

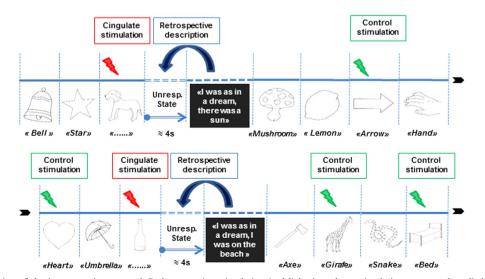


Fig. 2. Schematic description of the intraoperative protocol. Each responsive stimulation (red lightning; three stimulations sum-total applied on the same anatomical location) was followed by two no responsive control stimulations (green lightning; six stimulations sum-total applied on the anatomical space surrounding the responsive site, with a minimal distance of 5 mm that corresponds to the spatial resolution of the bipolar electrode). Note that the same protocol was applied regarding the "visual blur" phenomenon. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

Luigjes, & Hulshoff Pol, 2008; Greicius, Supekar, Menon, & Dougherty, 2009). However, even if we cannot thoroughly discredit this possibility, it seems unlikely because the cingulum was almost completely cut off and no behavioral disturbance was induced throughout its excision. Only few fibers were preserved, as shown in Fig. 1b. Moreover, there is no evidence in the few cases described in the literature that lesions damaging the cingulum induce severe disturbances of conscious experience (Valenstein et al., 1987; Rudge & Warrington, 1991). Lastly, the DMN is hypothesized to sustain what has referred to as "internal awareness". However the mental state induced in our patient seems more related to a disturbance of "external awareness" (this does not mean, however, that internal subjective awareness has not been impacted), thought classically to be mediated by the lateral fronto-parietal network (Vanhaudenhuyse et al., 2011; Demertzi, Soddu, & Laureys, 2013). As a consequence, it is difficult to conceive that transient disruption of functional connectivity between the frontal and the posterior cortical node of the DMN may explain alone the behavioral counterpart of the electrical stimulations.

Recent data suggest that the posterior cingulate may be not a cortical node functionally homogeneous, but might play the role of a connector hub (i.e. involved not only in the DMN, but also in other large-scale networks). Specifically, several studies have provided evidence for a ventral to dorsal functional subdivisions of the PCC (Leech, Kamourieh, Beckmann, & Sharp, 2011; Leech, Braga, & Sharp, 2012; Leech & Sharp, 2013). Whereas the ventral part of the PCC seems to show functional integration within the DMN per se, the dorsal part of the PCC seems to show, for its part, functional integration within both the DMN and the lateral frontoparietal network underlying cognitive control, attentional processes and more generally external awareness. This is consistent with the results of a recent work using connectivity analysis of fMRI data in order to investigate functional interaction patterns between the internal and external awareness networks (Fornito, Harrison, Zalesky, & Simons, 2012). In this study, it is shown that the DMN maintains cooperative interactions with the frontoparietal network during a memory recollection task. More specifically, and perhaps most relevantly for our data, the findings suggest that the PCC may be involved in mediating information exchange between the DMN and the frontoparietal network (and other intrinsic networks) when the brain is engaged in a cognitive-demanding task (see also Cocchi et al. (2013)). In our study, it is possible that electrical stimulations (rather applied to the dorsal sector of the PCC) have impacted both the DMN and the connectivity between the PCC and the lateral fronto-parietal network, explaining the transient disengagement from the external environment.

It is now well established that the posterior cingulate cortex and some nuclei of the thalamus are anatomically and functionally linked (Parvizi, Van Hoesen, Buckwalter, & Damasio, 2006; Cauda et al., 2010). Decreases of functional connectivity in this posterior cingulothalamic system has been repetitively related to disorders of consciousness but also to modified states of consciousness Abnormal structural connectivity in this system has been recently identified in patients with pathological states of consciousness (Fernandez-Espejo et al., 2012; Horovitz et al., 2009). Some authors have also suggested a possible functional regulation of the intrinsic activity of the DMN by the thalamus (Danielson et al., 2011; Blumenfeld et al., 2009). Consequently, a cingulothalamic disconnection syndrome might lead to disturbance of consciousness induced in our patient. In this respect, it is valuable to note that the patient lesion was revealed because of absence seizures. This kind of seizure is characterized by a transient disengagement from the external environment with, sometimes, the persistence of some elements of minimal conscious experiences (Bayne, 2011). This is the case of our patient who was able to report retrospectively that, after the ictal period, he was "elsewhere but aware of its body". In this context, the comparison between the DES-evoked phenomenon in our study and preoperative absence seizures remains interesting to the extent that the common denominator appears to be loss of external connectedness. Neurophysiological studies have shown decreased activity of the posterior cingulate after absence seizures in concert with an abnormal activity in the thalamic system, suggesting a key pathophysiological mechanism in transient loss of consciousness during absence epilepsy (Danielson et al., 2011; Archer et al., 2003).

It could be argued that the manifestation described here is entirely based on the subjective reports of the patient. However this kind of subjective description has been previously shown to be very useful in grasping the nature and neurocognitive mechanisms of complex mental phenomena, especially in stimulation studies (e.g. intention to move or also out-of-body experience, Desmurget et al., 2009; Blanke, Ortigue, Landis, & Seeck, 2002). Another possible criticism is that three occurrences of the same phenomenon are not enough to draw inferences. Although we certainly acknowledge that more responsive stimulations would help to more definitive conclusions, this classical method in neurosurgical practice has been proved in the past to be reliable (Desmurget, Song, Mottolese, & Sirigu, 2013). In addition, we have to deal with the clinical context for obvious ethical reasons.

It should be reiterated here that the patient harbors a diffuse low-grade glioma. This type of lesion is known to induce important functional compensation phenomena, mainly because of its slow-growing progression (Desmurget et al., 2007). Consequently, it could be argued that the connectivity surrounding the PCC gets already re-organized at the time of the stimulation and that, maybe, the stimulation-evoked dream like experience is an artificial phenomenon. However, it has been recently demonstrated in the context of this neuropathological condition that, contrary to most of cortical areas involving in high-level processes, functional compensation of direct long-range associative pathways is poor, despite important lesion infiltration (lus et al., 2011).

Postoperative neuropsychological disturbances were especially interesting regarding current thinking about the functional role of the posteromedial cortex. Based on findings from several activation fMRI and resting-state fMRI studies, it might be expected that the surgical excision of the precuneus and its adjacent cingulate and retrosplenial areas could induce a decrease of reflective selfawareness (Gusnard et al., 2001; Cavanna & Trimble, 2006). This is exactly what has been happened after the surgery in our patient. Retrospectively, he reported experiencing no rumination for almost a month after the surgery and to be in a contemplative state with a subjective feeling of absolute happiness and timelessness. Note that these subjective experiences characterize some meditative states and that fMRI studies with meditator subjects have shown an important decrease of the activity of the precuneus during mindfulness meditation (Ives-Deliperi, Solms, & Meintjes, 2011).

The patient's internal feeling of "transparency" of his right hand suggests a disturbance of self-body internal representations, resulting in a disorder of body scheme. This persistent phenomenon was accompanied for a month by impossibility of locating this hand in space except when looking at it. It is reminiscent of the case of a patient, described by the team of Husain, who presented a left superior parietal lesion (cyst) and was characterized by the same neuropsychological manifestation (Wolpert, Goodbody, & Husain, 1998). This "fading limb" was interpreted as a failure to maintain an internal representation of the body's state. Our data give additional support to the view that the precuneus cortex is essential in this type of high-level sensory-motor processes. In the same vein, it is interesting to mention that the precuneus has been also involved in other rare neuropsychological syndromes like alien hand (Schaefer, Heinze, & Galazky, 2010).

5. Conclusion

To conclude, interfering with the neural activity of the left posterior cingulate connectivity causes a breakdown in conscious experience. Although it remains difficult to provide a clear-cut neurophysiological account for this manifestation, this striking result is in agreement with current theories that hold that this brain region might be a "hot spot" in the neural network sustaining consciousness of external world (Vogt & Laureys, 2005; Horovitz et al., 2009; Picchioni et al., 2013). More broadly, this finding provides support to the view that the posterior cingulate is a pivotal hub within the brain's functional architecture (Hagmann et al., 2008: Gong et al., 2009: van den Heuvel & Sporns, 2011: Fornito et al., 2012; Cocchi et al., 2013).

Acknowledgments

G.H. is funded by the Association pour la Recherche sur le Cancer (aides individuelles $n=^{\circ}$ DOC20120605069). We thank Jeremy Deverdun for preparing Fig. 1b.

Appendix A. Supplementary material

Supplementary data associated with this article can be found in the online version at http://dx.doi.org/10.1016/j.neuropsychologia. 2014.01.020.

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